

	INDIANA DEPARTMENT OF CHILD SERVICES CHILD WELFARE MANUAL	
	Chapter 4: Assessment (Investigation)	Effective Date: July 1, 2007
	Section 3: Conducting the Assessment (Investigation) – Overview	Version: 1

POLICY	OLD POLICIES: 205.3; 205.4
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- The Indiana Department of Child Services (DCS) will conduct a thorough assessment (investigation).
- DCS will seek Law Enforcement Authority (LEA) assistance as needed, in order to conduct the assessment (investigation).

Code References

- [IC 31-33-8-7: Scope of the investigation](#)
- [IC 31-33-8-2: Investigations by law enforcement agencies](#)

PROCEDURE

The FCM will:

1. Notify the parent/guardian/custodian of the allegation(s) and request consent to interview the child unless exigent circumstances exist. See separate policies, [Consent to Interview Child](#) and [Exigent Circumstances](#).
2. Locate the subjects of the CA/N intake report (e.g. - the alleged victim, victim's parent(s)/guardian(s)/custodian(s), and alleged perpetrator). See separate policy, [Locating the Subjects](#).
3. Identify him/herself and show proper identification at the onset of each interview.
4. Follow appropriate procedures for gaining entry into the home/facility. See separate policy, [Entry into Home or Facility](#).
5. Conduct the following interviews (in the order shown below, to the extent possible and practical):
 - a. Required Interviews¹ (see separate policy, [Required Interviews](#)):
 - i. **[NEW]** The alleged child victim, all other children living in the home and any children not living in the home who were present at the time of the alleged incident. See separate policy [Interviewing Children](#).
 - ii. The parent(s)/guardian(s)/custodian(s). See separate policy, [Interviewing the Parent/Guardian/Custodian](#).
 - iii. All witnesses.
 - iv. If they exist, at least two (2) professionals believed to have knowledge that relates to the allegation(s).
 - v. The alleged perpetrator. See separate policy, [Interviewing the Alleged Perpetrator](#).
 - vi. The Reporting source (unless the reporting source is anonymous).
 - b. Any additional interviews necessary to gain adequate information from which to draw conclusions about the validity of the allegation(s). Examples may include,

¹ If practical given the particulars of the situation, the FCM should conduct the interviews in this order.

but are not limited to, extended family members, family friends, ministers/rabbis/priests, etc.

6. Visually examine an alleged child victim as necessary to confirm alleged or suspected bodily injuries. See separate policy, [Examining A Child](#). Photograph visible trauma found on any child or secure photographs that have been taken by a medical professional or law enforcement authority (LEA). See separate policy [Photographing Trauma](#).
7. Arrange for necessary medical and/or psychological examinations. See separate policy [Medical and Psychological Examinations](#).
8. Complete a Safety Assessment, and if appropriate, a Community Services Plan. See separate policies, [Safety Assessment](#) and [Family Support/Community Services Plan](#).
9. Conduct an assessment of the home environment. See separate policy, [Assessing \(Investigating\) Home Conditions](#).
10. During all interviews, gather additional demographic information that is not already included on the CA/N intake report.
11. Provide the parent/guardian/custodian, any known non-custodial parent, and the perpetrator who is not a parent/guardian/custodian with a copy of the form, [Notice of Availability of Completed Reports and Information](#).
12. If at any point during an interview in a home suspicions arise that a meth lab is present, immediately exit the home without alarming the adults/children. Call 9-1-1. Refer to the [Indiana Drug Endangered Children \(DEC\) Response Protocol](#).
13. Discontinue the interview if at any point the FCM becomes concerned for his/her safety (e.g. - the individual becomes hostile or threatening or there are other dangerous conditions in the home). Seek Supervisory input to make alternate arrangements to complete the assessment (investigation).
14. If the alleged perpetrator is a DCS staff member, notify the accused employee's Regional Manager or the DCS Human Resources Office. See separate policy, [2.5 Assessment \(Investigation\) and Review of DCS Staff Alleged Perpetrators](#).
15. Gather additional information necessary to make a determination about the validity of the allegations.
16. Document all information gathered during the assessment (investigation).
17. Seek Supervisory input as needed throughout the assessment (investigation).
18. Document good faith attempts if unable to complete any element of the assessment (investigation) and seek Supervisory input. See separate policy, [Good Faith Efforts](#).
19. Send the 30/75 Day Report to the Administrator of the facility that made the CA/N report, if applicable. See separate policy, [30/75 Day Report Assessment \(Investigation\) Reports](#).
20. If the alleged perpetrator is a child care worker, notify the child care worker of his/her right to participate in an informational review prior to arriving at a finding. See separate policy, [2.4 Child Care Workers Assessment \(Investigation\) Review Process](#).
21. Arrive at a finding of substantiated, unsubstantiated or indicated for each allegation. See separate policy, [Making an Assessment \(Investigation\) Finding](#).
22. If necessary, conduct a Risk Assessment and Strengths and Needs Assessment. See separate policies, [Risk Assessment](#) and [Strengths and Needs Assessment](#).
23. Take additional actions if necessary to assure the child's safety, including implementing child and family services. See separate policy, [Determining Service Levels and Transitioning to Case Management](#).
24. Complete the Assessment (Investigation) Report. See separate policy, [Completing the Assessment \(Investigation\) Report](#).
25. If any allegations are substantiated, send notice to the perpetrator(s) regarding their rights to a review and an appeal of the decision. See separate Policies, [2.2 Requests for Administrative Review](#), and [2.6 Administrative Appeal Hearings](#).

RELATED INFORMATION

Physically Seeing and Interviewing All Children in the Home

It is necessary for DCS to conduct a **face-to-face** interview with **all** children living in the household because they may have witnessed the alleged abuse and/or neglect, and there is a possibility that they may also be victims. For children who are too young or unable to communicate, an interview will consist of face-to-face interaction with the child at a level that is appropriate given the child's developmental status.

Gathering Additional Information

Sources of additional information may include but are not limited to: relatives, neighbors, school officials, teachers and other employees, physicians, other professionals or agencies in the community, and law enforcement. Such persons should only be contacted when the FCM has reason to believe they have pertinent information.

It is important to note that the purpose of gathering additional information is to gain knowledge that may aid in the assessment (investigation), not to release **confidential information** already gathered. Confidentiality regarding the reporting source of the additional information must also be maintained.

Communication with Supervisor

Because the Supervisor provides the first level of quality assurance within the system, it is important the Supervisor be updated and consulted as necessary throughout the assessment (investigation).

Contacting LEA

Each local DCS Office must develop Inter-Agency Agreements with their local LEA to outline procedures on the handling of new CA/N reports.

FORMS AND TOOLS

- [Notice of Availability of Completed Reports and Information](#)